

| Action number | Workgroup Raised     | Owner            | Action   | Comment  | Due by            | Status | Workgroup Closed |
|---------------|----------------------|------------------|--|--|-------------------|--------|------------------|
| 1             | WG1                  | AT/SB            | Revise Terms of Reference based on Workgroup feedback  | To submit to May Panels following discussion and changes made in WG3                                       | WG3<br>(23/05/24) | Closed | WG4              |
| 2             | WG1                  | AT               | Document that charging and user commitments will be out of scope for CMP435  |  | N/A               | Closed | WG11             |
| 3             | WG1                  | AT, SB           | Proposers for CMP435 and CM096 to confirm the consequential plans in case the Connections Network Design Methodology is not in place/parties have not complied in time         |  | Ongoing           | Closed | WG3              |
| 4             | WG1                  | EB               | CMP435 - Workgroup discussions to cover whether NESO will designate some projects as Gate 2 (PM to confirm – TOR j can be reviewed for this if needed)                         |  | Ongoing           | Closed | WG3              |
| 5             | WG1                  | AT               | Clarification of types of projects that will be in/out of scope for CMP435   | Scope to be discussed in WG4 to help clarify the situation for different project types                     | WG4               | Closed | WG5              |
| 6             | WG1                  | RP               | Workgroup to discuss the consequences of the SO:DNO/IDNO contract changes on DNO contracts with other parties  | <del>Not for the CMP435 solution but WG Report.</del> WG time to be allocated to discuss this specifically | Ongoing           | Closed | WG8              |
| 7             | WG1                  | Code Admin       | Collaboration space – access queries to be explored with IT  |  | Ongoing           | Closed | WG8              |
| 8             | WG1                  | Code Admin       | Workgroup & Action Review invites – invitees updated & shared  |  | 10-Apr            | Closed | WG2              |
| 9             | WG1                  | Proposers & SMEs | Process for addressing query log agreed  |  | 10-Apr            | Closed | WG2              |
| 10            | WG1                  | Code Admin       | Workgroup membership to be updated and shared with Panel   |  | 10-Apr            | Closed | WG2              |
| 11            | WG2                  | AT/RW            | Discuss capital contributions.   |  | WG5               | Closed | WG5              |
| 12            | WG2<br>(amended WG4) | LH/AC            | <del>Discuss possibility of further impact assessment (RFI data).</del><br>Discuss impact assessments of solution options in terms of effects on the current and future queue. | ESO have confirmed that they will not pursue the use of consultants at this time                           | Ongoing           | Closed | WG11             |
| 13            | WG2                  | AT/RW/GG         | Develop scope diagram  |  | WG3               | Closed | WG3              |
| 14            | WG2                  | AT/PM            | Update WG topics   | Completed  | Ongoing           | Closed | WG11             |
| 15            | WG2                  | AT/RW            | Clarify process (WG2 slide 2 particularly the yellow box)  | Superseded by Process slide that PM presented to 29 May 2024 a   | WG3               | Closed | WG6              |

|    |     |                          |  |  |         |        |       |
|----|-----|--------------------------|--|--|---------|--------|-------|
| 16 | WG2 | LH                       | Look into securities for offers  | Yes, new Transitional Offers will not have securities. To be referenced in WG6 - update TBC<br>Close and replace with updated Action 83  | Jun-24  | Closed | Close |
| 17 | WG2 | Chair                    | Include updated process (annex 2 CMP434) In the slide pack for WG meetings   |  | WG3     | Closed | WG3   |
| 18 | WG2 | Code Admin               | Add column to query log for WG members to show support for a particular query  |  | WG3     | Closed | WG3   |
| 19 | WG3 | PM, MO                   | Clarification on mod apps where CMP435/CM096 are applicable  | To be referenced in WG6  | WG4     | Closed | WG8   |
| 20 | WG3 | RW, AT                   | TOs and ESO meeting needed to discuss data available to review capital contributions for 2024  | Position on capacital contribution was shared and then set out in WG Consultation. (Further discussion may be required in future.)   | Ongoing | Closed | WG15  |
| 21 | WG3 | AC / FP                  | When considering transitional arrangements, include guidance for staged projects   | To be covered in more detail under Phase 2   | Ongoing | Closed | WG26  |
| 22 | WG3 | EB, LC                   | Confirmation sought from the Authority on the DESNZ position on government designation exemption for projects  | This option will be out of scope for CMP435/96 - confirmation received 23.05.24 that DESNZ will not pursue a government designation exemption for projects   | WG4     | Closed | WG4   |
| 23 | WG3 | MO                       | ESO to check the process to avoid both DNO and ESO assessing evidence for Gate progression   | There will be no duplication of effort between ESO and I/DNO in relation to checking of evidence in relation to Gate 2 - subsequently action 41 raised re: process   | WG4     | Closed | WG6   |
| 24 | WG4 | EB                       | Additional Workgroup meeting arranged pre-WG consultation  |  | WG5     | Closed | WG5   |
| 25 | WG4 | Proposers, SME, Code Gov | Topics slide – add dates to WG, consider best placement for discussion of impacts on DNO/IDNO, the WG consultation review & timings for DCUSA changes/guidance   | Check with KS for DCUSA discussion (agreed with KS to be post WG consultation)   | WG5     | Closed | WG6   |
| 26 | WG4 | LC                       | Authority to consider licence obligations and possible penalties for DNOs/IDNOs performing checks on projects  | Addressed by LC in WG5 and query 62 on query log   | WG5     | Closed | WG6   |
| 27 | WG4 | MO                       | Updates to the WG4 slides on Scope   | For the avoidance of doubt...line, reference to Pt 1 & Pt 2, synch comps in embedded generation, wording around New Grid Supply Point/substation, reference to interconnectors - in the slide pack for WG6 for reference | WG5     | Closed | WG6   |
| 28 | WG4 | PM                       | Work through different scenarios for progressing/not progressing through the Gates (accept, reject, refer) considering conditions such as restrictions on availability   | 1st part re: contract scenarios will be covered more at WG10 and how restrictions on availability are managed is a question for the CNDM   | Ongoing | Closed | WG11  |
| 29 | WG4 | RP & KS                  | Map out the timings for implementation plan (ESO to liaise with ENA)   |  | Ongoing | Closed | WG6   |
| 30 | WG4 | PM                       | Review process slides – ongoing compliance pulled out to apply to all scenarios on example slide, consider simplification to manage queue position based on clock start date   | Queue position line added to process slide in WG6 slides; ongoing compliance was already added to process slide presented at WG5   | WG5     | Closed | WG6   |
| 31 | WG4 | RP                       | Call to be arranged between RP and JD about the consequences of customers not progressing if part of multi-customer applications (to then progress understanding of this via the ENA SCG groups)   | Meeting Thursday 06/06. Keep open for outcomes to be shared with WG - This action is to close with a new action that captures inputs from SCG  | Ongoing | Closed | WG8   |
| 32 | WG4 | MO                       | ESO to confirm rationale for 3 month waiting period for refunds  | Update shared in WG5 by MO that rationale was to allow security in place to lapse vs actively cancel/return it before natural expiration   | WG5     | Closed | WG6   |
| 33 | WG4 | RE                       | ESO to consider the analysis available/possible to support the proposal for the Gate 1 Capacity Holding Security   | CMP434/CM095 to discuss first  | Ongoing | Closed | WG6   |
| 34 | WG5 | Code Gov, Proposers, SME | Assess the agenda for 16 July (considering time needed to review consultation responses)   | N/A  | Ongoing | Closed | WG15  |
| 35 | WG5 | RP                       | Updates shared to the 435/96 WG from the SCG group exploring implementation  |  | Ongoing | Closed | WG8   |
| 36 | WG5 | Angie                    | Statement from ESO as to the CAP150 powers and how they are applied /can be applied re: ongoing compliance (include link to CAP150 info on ESO website)  | This is part of the CMP434 legal text  | Ongoing | Closed | WG20  |
| 37 | WG5 | Angie                    | Consequences for a false declaration on a self-certification letter outlined for CMP435/CM096 (i.e. any other than termination of agreement)   |  | WG7     | Closed | WG8   |
| 38 | WG5 | PM                       | Amend to the Planning: ongoing compliance slide to remove Gate 2, amend to Process slide to adjust in relation to reordering   | Added to process slide in WG6 pack   | WG6     | Closed | WG6   |
| 39 | WG5 | PM                       | Date for the Gate 2 qualification dispute process could start  | Disputes related to Gate 2 could be raised as and when they arise, but this is likely to be no earlier than 1st February i.e. after the deadline for the provision of the Gate 2 evidence.                               |         | Closed | WG8   |
| 40 | WG5 | RM/LH                    | RFI recipient to be confirmed for Drax   | RFI sent it out to customers via a distribution list of customers from Salesforce and also published it on the website   | WG6     | Closed | WG6   |
| 41 | WG6 | PM, AP                   | The process & evidence requirements confirmed for DNO/IDNO evidence checking & if there will be a specific template for the self-certificate process for DNOs/IDNOs.   | ESO confirmed it would be same process for Distribution and Transmission and would have a consistent template for Distribution and Transmission  | WG7     | Closed | WG8   |
| 42 | WG6 | AC/FP                    | Check with legal as to the clock start dates for new applications considering the point of implementation after an Authority decision (is 15th of November date is legally acceptable as the Gate 1 process only comes to existence 10 Working days after Authority decision?) | Clarity on this should be provided by the legal text   | Ongoing | Closed | WG20  |
| 43 | WG6 | RM                       | Clarify the recourse available to industry if they disagree with the a specific NESO designation or NESO designation as a process and the basis of (link to query 50 from GG – on what legal basis the ESO can designate projects to not meet CMP435 criteria)                 | Process to challenge is TBC and would need to be set out in future within licence and/or methodology.  |         | Closed | WG8   |
| 44 | WG6 | RM                       | Confirmation about whether NESO designation applications, decisions and decision rationales would be published.  | Obligations to publish are TBC and would need to be set out in future within licence and/or methodology.   |         | Closed | WG15  |

|    |      |            |   |   |           |        |      |
|----|------|------------|---|---|-----------|--------|------|
| 45 | WG6  | RM         | Confirm when NESO designation guidance is likely to be finalised ( NESO Designation Methodology, CND Methodology and Gate 2 Criteria Methodologies)   | Superseded by Action 59?  |           | Closed | WG11 |
| 46 | WG6  | MO         | Check if the three competition routes for reserving bays will be codified and stipulate the specific routes applicable.   | Verbal update from MO confirming intention would be to codify within STC/STCP the circumstances in which connection point and capacity reservation could be applied.  |           | Closed | WG8  |
| 47 | WG6  | RM         | ESO to reflect on the NESO designation vs Ofgem derogation question and respond to the Workgroup with a confirmed position.   | Propose to continue with methodology approach rather than derogation approach as suggested in WG.   |           | Closed | WG8  |
| 48 | WG6  | PM, MO, AD | Call arranged to discuss interconnectors and OHA in relation to CMP435 impacts  | Call being scheduled between ESO and I/C WG member w/c 17 June  |           | Closed | WG8  |
| 49 | WG7  | RP         | Feedback gathered from Friday 21 June meeting with DNOs on distribution mirroring the low level dispute process proposed in CMP435/CM096  | This item was deprioritised at the call on the 21st June. Expectation is to discuss on the 28th June at Baringa workshop Verbal update to be provided by RP   | Completed | Closed | WG12 |
| 50 | WG7  | RP         | Check with ENA/INA regarding involvement of IDNOs in a SCG working group  | Kyle from ENA to provide an update at WG7   | WG7       | Closed | WG8  |
| 51 | WG7  | LH         | To update on guidance on transitional arrangements for staged projects  | To be covered in WG10   | WG10      | Closed | WG11 |
| 52 | WG7  | KP/LH      | Share any experience shared of minimum sample checking (e.g. CMP376) and revisions of sample %  | The ESO do not do a % of checks for CMP376, we check all of the evidence that is submitted for this process   |           | Closed | WG8  |
| 53 | WG7  | Code Gov   | Update slide 57 from WG7 for wording relating to alternatives and the need for a defect   |   | Ongoing   | Closed | WG9  |
| 54 | WG8  | PM         | 5th option to manage risk of early planning submissions to be added to the list (rectification period)  | Done and will be within WG consultation   |           | Closed | WG9  |
| 55 | WG8  | PM         | Forward looking milestones illustrative examples for staged offers (same and different technologies)  | Worked example annexed to CMP434 Workgroup Consultation   |           | Closed | WG15 |
| 56 | WG8  | MO         | Clarification with legal regarding guidance and introduction of any new obligations   | We have provided an update on the view of guidance in regard to the legal text. Initial legal text has been presented to WG with an opportunity to comment  | Ongoing   | Closed | WG21 |
| 57 | WG8  | MO         | ESO set out the processes and timing for determining liability and security for April 2025 and October 2025   | April 2025 and October 2025 processes are currently expected to continue as normal in respect of existing agreements but this will be kept under review.  | Ongoing   | Closed | WG20 |
| 58 | WG8  | HM         | ESO set out how the new fast track process fits within the existing disputes/escalation processes of the CUSC and Transmission Licence  | To be covered off within this WG  | WG9       | Closed | WG9  |
| 59 | WG8  | MO         | Provide WG with the list of documents outside the mod, the principles for guidance docs and timelines for the development of methodology documents.   | Methodologies session held on the 16th. Further session to be scheduled Mid-October. Methodology consultation to take place Nov-Dec. (Exact dates for both TBC.) Guidance to be used is already known, as this was set out in WG consultation.  | Ongoing   | Closed | WG20 |
| 60 | WG8  | RP         | (Replacement for Action 35) Provide relevant updates from SCG.  | Suggest that this is closed as an action and instead we have a standing agenda item moving forward  | Ongoing   | Closed |      |
| 61 | WG8  | PM         | (Amendments to action 52) ESO to confirm intention for % evidence checks vs 100% checks for CMP376.   | Introducing right to do 100% checks. Close and WG to raise in CMP434  | WG10      | Closed | WG15 |
| 62 | WG8  | PM         | ESO to enquire with Ofgem about them setting a % evidence check level.  | Introducing right to do 100% checks. WG to raise in CMP434  | Ongoing   | Closed | WG15 |
| 63 | WG9  | HM         | Consideration of 'dispute' process being renamed to avoid confusion with the formal CUSC process (e.g. disagreement process)  | Now calling it "fast track resolution process" and that will go into the Workgroup Consultation - Workgroup Members can propose alternative wording if they wish to as part of the Workgroup review of the draft Workgroup Consultation   | Ongoing   | closed | WG11 |
| 64 | WG9  | FS         | Reference term for 'land rights' document to avoid confusion with LOA   | Noted and will ensure Workgroup Consultation makes this distinction (if not already)  | Ongoing   | Closed | WG11 |
| 65 | WG9  | FS         | ESO to look into the data checks between D + T by ESO (data transfer) for criteria/duplication  | 100% duplication checks; format on how D tell T to be confirmed but not part of 435 Mod   | Ongoing   | Closed | WG15 |
| 66 | WG9  | PM         | Self cert letter to ask for explicit declaration if applying for Gate 2 via Distribution and Transmission routes (re duplication checks)  | Provided an updated list of what is intended to be included in the self-certification letter  | Ongoing   | Closed | WG15 |
| 67 | WG9  | SA         | SA to email FS and PM with scenarios for multiple technologies used on an area of land  | Emailed and a consideration for the question as to the likelihood of overlapping red line boundaries. Worth adding a paragraph to the Workgroup Consultation  | Completed | Closed | WG11 |
| 68 | WG9  | FS, PM     | Clarification of what ESO mean by Red Line Boundary in the solution   | WG10 - "Red Line Boundary for Project Site"   | Completed | Closed | WG11 |
| 69 | WG9  | AT         | Clarification as to what CNDM is in the query log re: action 89   | Updated Query Log action 89   | completed | Closed | WG11 |
| 70 | WG9  | PM         | Clarification on what dates will determine queue position   | As we said on call, this is being confirmed through the CNDM development  | Completed | Closed | WG11 |
| 71 | WG9  | DD         | ESO to consider identifying projects intended to benefit from Offshore Capacity Reservation in the context of CMP435  | (take DD response on query log when posted)   | Completed | Closed | WG11 |
| 72 | WG9  | RM /JH     | Workgroup request appendix/annex re: transmission connection queue – how many projects impacts re diff tech and dates + information on the RFI for the consultation (majority/minority party) | The RFI information has been shared with the WG and we are not planning on providing any further information  | Ongoing   | Closed | WG17 |
| 73 | WG10 | PM/RS      | To have a conversation about Gate 2 Criteria specifically what we do for people connecting sooner than 3 years from now   | Paul 17 July - we propose that a site that has already submitted their application for planning consent (i.e. has met Milestone M1) or is beyond that Milestone won't need a minimum option and I need to make that clear at Workgroup and in our solution. Based on that, not sure there is a credible scenario where a User with a completion date less than 3 years away wouldn't have at least met M1 - adding commentary to WG Consultation. | Completed | Closed | WG11 |

|    |      |                      |  |  |          |        |      |
|----|------|----------------------|--|--|----------|--------|------|
| 74 | WG10 | PM/GG/RW             | To consider wider context of projects for Gate 2 criteria and implementation aspects to map project types and considerations for 'minimum options' suggestions/proposal                    | GG contacted for whether this is being pursued.<br>The ESO are not proposing to do anything further on this. We have confirmed our intention that land options ahead of authority decision date do not need to meet the minimum 3 year period. Awaiting on confirmation from GG on whether to close.   | Ongoing  | Closed | WG17 |
| 75 | WG10 | AQ                   | Articulate which parties will be sharing and approving letters and derogations - Ofgem/the Authority re: process and charging  | Update from AQ: As explained in the slides/discussion on the proposed transitional process, there is engagement between Ofgem and the transmission licensees on the need for and content of any "derogations" required from current licence/code processes and requirements to enable the transitional process described and decisions on this will be made at the correct level of authority within GEMA (the authority). | WG11     | Closed | WG11 |
| 76 | WG10 | AC/TC                | To discuss timings for letters to the Authority re: transitional arrangements and raising alternatives   | Had meeting with Tony last Friday and resolved any issues he had as a Transitional offer will have an indicative completion date included  | Ongoing  | Closed | WG11 |
| 77 | WG10 | MO                   | Consider how to treat requests to reduce capacity for existing contract projects   | Position updated in WG15.  | Ongoing  | Closed | WG15 |
| 78 | WG10 | AC                   | Explore difference between treatment of mod app fees vs expression of interest from 5 point plan   | The TWR / CPA was a one off project as part of the 5 point plan. This is an on going process and as such when a customer makes a request for a change to their agreement such as a change of date then a mod app fee is applied due to the studies required to see if the requested change can be facilitated.   | Ongoing  | Closed | WG17 |
| 79 | WG10 | MO                   | Develop a diagram for consultation for alignment of methodologies' timings vs the modifications  | No longer proposing to complete this action due to the recent Ofgem Open Letter and approach in respect of methodology discussion  | Ongoing  | Closed | WG20 |
| 80 | WG10 | MO                   | Provide further clarity on the nature of the projects designated in 2025, and separately those projects would have reserved capacity   | ESO are not providing any further information on this as part of the code process as until we have run the process we will not know which projects are subject to Capacity Reservation.  | Ongoing  | Closed | WG20 |
| 81 | WG10 | LC                   | Ofgem to consider whether connection point and capacity reservation for interconnectors/OHAs would be sufficient to meet requirements for the Cap and Floor process                        | LC has been emailed regarding this   | Ongoing  | Closed | WG11 |
| 82 | WG11 | MO                   | To update whether/when/what information from RFI will be published   | The RFI slide pack has been sent to the WG with an opportunity to ask clarification questions in a WG  | Ongoing  | Closed | WG17 |
| 83 | WG11 | CD/RP                | To update WG on securities for offers (re: small/med embedded generators)  | Action generated in relation to disapplication of securities for IDNO scenario. Follow up meetings held. The scenario described is a current issue and remains the same under CMP435. A separate Code Mod CMP417 is working on the issue which is connected to Final Sums. Propose to close this action. AC - issues with specific GSP and flow of securities can be picked up with the CAM                                | Ongoing  | Closed | WG18 |
| 84 | WG11 | PM/HS                | To discuss how to make Offshore projects holding offers in scope of the modification   | If the agreement to vary is not in place prior to the CMP435 Implementation Date, projects with signed 'holding contracts' in place would still become existing agreements and could apply/declare to become a Gate 2 project within the Gate 2 process, if they had met the Gate 2 criteria.  | Ongoing  | Closed | WG26 |
| 85 | WG11 | AC/DD                | Comeback to WG with Justification on proposals on exempting mod apps from implementation date  | This is related to the Phase 1 transitional arrangement scope which has now been set and is in place   | Ongoing  | Closed | WG20 |
| 86 | WG11 | MO                   | Check on use of specific provisional dates for the indicative timeline and add additional text for clarity on what action is needed from users and consequences                            | Completed for inclusion in the Workgroup Consultation  | Complete | Closed | WG15 |
| 87 | WG11 | MO                   | Explore how offers referred to Ofgem are dealt with  | Ofgem offer referrals process unchanged by Proposal - CNDM will need to account for any referred offers in relation to combined Gate 1 and Gate 2 process e.g. by assuming it is to be signed until the referral process outcome is known.   | Ongoing  | Closed | WG15 |
| 88 | WG14 | EB                   | Email to be shared with Workgroup from CMP434/CM096 compiling emails received about timelines.   | Comments received were used for the discussions inputting into timeline discussions and revisions  | Ongoing  | Closed | WG18 |
| 89 | WG14 | MO                   | STC solution to expand on intended process and contract changes (particular importance for TOs)  | CM096 was withdrawn on 28 October 2024 - WG were advised on WG26 29 October 2024   | Ongoing  | Closed | WG21 |
| 90 | WG14 | EB                   | Summary slides for the Workgroup Consultation responses are to be updated  | Updated slides have been sent out to the WG  | WG18     | Closed | WG18 |
| 91 | WG14 | EB                   | Timings for sharing Alternatives with the Workgroup to be clarified  | ESO has been discussing certain submissions with potential Proposers which has impacted whether some progress. Latest submissions to be shared 21.08   | Ongoing  | Closed | WG17 |
| 92 | WG14 | EB                   | Code Governance to check the codified requirements for Workgroup attendance of voting Workgroup members  | 50%+ attendance does feature in the ToR for Workgroup Vote   | Ongoing  | Closed | WG17 |
| 93 | WG14 | ESO Connections Team | Update on the pathway of modifications in relation to the wider Reform package   | Propose that this becomes a standing agenda item instead to provide general updates on other aspects of TMO4+  | Ongoing  | Closed | WG20 |
| 94 | WG15 | ESO Connections Team | Clarification sought on whether the change to assess whether projects are needed introduces any risk to projects before the new arrangements go live (in context of an investment hiatus). | ESO session arranged for 16th September "Potential to apply a technology lens to Connections Reform event"   | Ongoing  | Closed | WG20 |

|     |      |       |  |   |         |        |      |
|-----|------|-------|--|---|---------|--------|------|
| 95  | WG15 | RP    | Will demand connection dates be reviewed as part of queue re-organization  | T connected Demand - in scope of TM04+ so working assumption will be reviewed as part of CNDM<br>D connected demand - currently not expecting to actively review as out of scope of TM04+ so not part of CNDM and will continue to be captured in existing planning process in place. However, potentially could be a consequential impact.<br>After checking with CNDM team not intending to actively look at at embedded demand connections as part of the queue reorganisation | Ongoing | Closed | WG18 |
| 96  | WG15 | PM    | CNDM team to be asked how existing projects not meeting Gate 2 will be factored into the CNDM (in case of any consequential issues for removing the Gate 1 longstop)   | Question shared with CNDM team for consideration in relation to methodology drafting process.   | Ongoing | Closed | WG26 |
| 97  | WG15 | PM    | Ask CNDM team if it would help them to know what stage projects are at from the self-declaration letter  | Already added as part of self-declaration letter that CMP435 users will complete when providing evidence they meet Gate 2. Will be marked up in the red-line changes.   | Ongoing | Closed | WG17 |
| 98  | WG15 | PM    | To check if TEC reduction will still mean projects are open to liabilities   | This is in 435 legal text confirming that would be liable for Cancellation Charge   | Ongoing | Closed | WG26 |
| 99  | WG15 | PM    | ESO to consider the new proposed reforms to National Planning Framework for nationally significant solar projects and any impacts for the Planning Regime timescales for Town & Country Planning (TCP)   |   | Ongoing | Closed | WG20 |
| 100 | WG15 | RM    | Will timescales for submitting offers change with changes in programme timelines   | Propose to close as related to transitional arrangements. Updates on transitional arrangements will be provided in the general update as and when available.  | Ongoing | Closed | WG26 |
| 101 | WG15 | RM    | Workgroup require timings for the further updates on Element 19  | The Proposal is being amended to remove specific timescales in respect of Element 19 and Implementation Approach (other than Implementation Date and Minimums).   | Ongoing | Closed | WG26 |
| 102 | WG15 | MO    | Swim lane document to be produced for CMP434 and 435   | The Proposal is being amended to remove specific timescales in respect of Element 19 and Implementation Approach (other than Implementation Date and Minimums).   | Ongoing | Closed | WG21 |
| 103 | WG16 | AT/AQ | List of CUSC Sections expected to be changed for CMP435 legal text to be shared to the Workgroup (for background reading if needed)  | The initial legal understanding is that CMP435 changes will be via a new CUSC section.  | WG17    | Closed | WG17 |
| 104 | WG16 | PM    | Slides presented by James Norman to the ENA SCG on 29 Aug to be shared with the Workgroup  | These were sent to the WG on 30.07.24.  | 30-Aug  | Closed | WG17 |
| 105 | WG16 | AT/SB | Request for ESO to provide comment on how options will be created for Govt decisions on capacity mix (and the legal basis for decisions)   | ESO session arranged for 16th September "Potential to apply a technology lens to Connections Reform event"  | TBC     | Closed | WG20 |
| 106 | WG15 | TBC   | Will there be Code changes to allow for onshore connection site changes, can ESO be confident giving assurances on connection points (if whether it is 'needed' means it's not guaranteed).  | The ESO is not in a position to respond to this question at this stage, ESO are looking to hold a session in the next couple of weeks where workgroup and CPAG members will be invited  |         | Closed | WG17 |
| 107 | WG17 | AC    | Clarify the process for transitional accepted offers in relation to 434 and/or 435 processes   | Transitional offers will be managed by 435, as per Element 19 , the fourth group, talks about how transitional accepted offers will be managed.   | TBC     | Closed | WG26 |
| 108 | WG17 | AQ    | Come back with a clarificatory position on application routes where GSPs are involved  | Addressed in Section 18 of the legal text to be clear for EG.   | TBC     | Closed | WG26 |
| 109 | WG17 | RM    | Updates to the RFI analysis slides to be made and re-shared with the Workgroup   |   | TBC     | Closed | WG20 |
| 110 | WG17 | AQ    | Provide the document outlining the CMP435 legal text approach for sharing with the Workgroup   | Provided ahead of WG20  | TBC     | Closed | WG26 |
| 111 | WG18 | MO    | ESO and Ofgem to discuss expectations re: TOR i) and feedback to Workgroup.  | NESO have confirmed their view that Annex B relates to TMO4+ and the wider connection reform program and have outlined the intention of impact assessments and RFI in WG22.   | TBC     | Closed | WG26 |
| 112 | WG18 | RM    | Underlying RFI data to be supplied in Excel format as per WG17   | The further analysis that was requested has been shared as part of WG22   | TBC     | Closed | WG26 |
| 113 | WG19 | AT    | ESO to consider the technical impacts of the proposed Alternative Requests for CMP435  | As per Proposer's update in WG20  | TBC     | Closed | WG20 |
| 114 | WG19 | MO    | ESO to provide an update on the Swim lane diagram - ref dates and Ofgem letter   | The proposal is being amended to remove specific timescales in respect of Element 19 and Implementation Approach (other than Implementation Date and Minimums).   | TBC     | Closed | WG26 |
| 115 | WG20 | RM/AC | ESO to provide an update on Phase 2 & Cutover Arrangements   | Updates on transitional arrangements will be provided in the general update as and when available   | TBC     | Closed | WG26 |
| 116 | WG21 | MO/AQ | Diagram (e.g. flow chart) of the timeline for the earliest date an offer would be made if a mod app is submitted that falls into transitional arrangement, or a user wishes to mod app as part of CMP435 (and go through two separate windows) | Mod Apps (outwith the scope of those within CMP435) will need to be submitted before any transitional arrangement restrictions are in place in relation to them (if and when in place), or else they will need to wait until the first CMP434 application window. We are therefore not intending on providing a diagram on this.  | TBC     | Closed | WG26 |
| 117 | WG21 | MO    | in the solution of the WG Report clearly outline the mod app process, the accepted criteria for requested changes for a mod app submitted for CMP435 Gate 2 and instances where fees are applicable (if not on the suggested timeline diagram) | This forms part of Element 19 and intention is to have made this clear when looking at the WG Report.   | TBC     | Closed | WG26 |

|     |      |          |   |   |         |        |      |
|-----|------|----------|---|---|---------|--------|------|
| 118 | WG21 | MO/PM/AQ | 1) Define installed capacity; 2) Will it be possible to reduce installed capacity as part of 435 Gate 2; 3) what is the relationship to developer capacity and TEC; 4) it is user-defined and needs to match with value in EA?                            | 1) Installed capacity will be defined in CMP434 legal text and will refer to this definition in 435. 2) There is no concept of reducing installed capacity as they just need to provide an installed capacity appropriate for their TEC/Developer Capacity when they self-declare they have met Gate 2. 3) There is no relationship between Installed Capacity and TEC/Developer other than if installed capacity becomes a number lower than TEC/Developer Capacity then TEC/Developer Capacity reduces too. It is user defined as it is provided by as part of self-declaration. Whatever they state is their installed capacity defines the land acreage they need for each technology (calculation per technology is Installed Capacity in MW x Minimum acre per MW registered. Calculation is in 427 Guidance as we referred to on Friday. <a href="https://www.neso.energy/document/308911/download">https://www.neso.energy/document/308911/download</a> | TBC     | Closed | WG26 |
| 119 | WG21 | MO/AQ    | Confirm the consequences for not accepting an accelerated Gate 2 offer if date/GSP is not as requested (with a rationale for any changes on this position since the WG Consultation). CG to review WG consultation and post-consultation proposal slides. | Explanation provided in WG22.   | TBC     | Closed | WG26 |
| 120 | WG21 | PM       | Confirm where the need to meet minimum acreage requirements for each technology to reach Gate 2 was outlined in the solution for the WG consultation.   | In our proposal section (Section 11.1, page 17 and note that the 427 guidance itself sets out the calculation where there is more than 1 technology. Going forward these details will be housed in Gate 2 Criteria Methodology.   | TBC     | Closed | WG26 |
| 121 | WG21 | RP/MO    | NESO to update the Workgroup on project timescales for the submission of data   | The Proposal is being amended to remove specific timescales in respect of Element 19 and Implementation Approach (other than Implementation Date and Minimums).   | TBC     | Closed | WG26 |
| 122 | WG21 | RM/AC    | ESO to provide an update on Phase 2 & Cutover Arrangements  | This is a duplication - see action 115  | TBC     | Closed | WG26 |
| 123 | WG21 | SB       | NESO to confirm the course of action for CM096/STCP progression ASAP to the Workgroup and whether a Special STC Panel meeting would be required.  | As per updates presented in WG24  | TBC     | Closed | WG26 |
| 124 | WG21 | SB       | NESO to confirm the course of action for CM096/STCP progression ASAP to the Workgroup and whether a Special STC Panel meeting would be required.  | Duplication of action 123   | TBC     | Closed | WG26 |
| 125 | WG22 | MO/AQ    | Consider the process timeline with 'no longer than' minimum periods after key milestones (and length of windows to allow WG to assess feasibility) – add to legal text where necessary.   | Considered and now within updated WG Report Proposal.   | TBC     | Closed | WG26 |
| 126 | WG22 | MO/AQ    | To provide confirmation that the securities and liabilities will be held at the same level as to when the Gate 2 application is submitted   | Nothing added to proposal in this regard – ways to avoid it being an issue in practice without amends to the Proposal.  | TBC     | Closed | WG26 |
| 127 | WG22 | MO/AQ    | NESO to check that 18.12.2 (continuation of works) applies to Gate 1 projects only, not Gate 2 projects (adding clarity to the clause if needed)  | Checked and waiver only refers to Gate 1 Projects.  | TBC     | Closed | WG26 |
| 128 | WG22 | MO       | Check with the CNDM team for the process of reassigning connection points if necessary (pre-engagement with developer for suitable relocation) and dealing with acceleration in areas where technology caps may be reached.                               | Question shared with CNDM team for consideration in relation to methodology drafting process.   | TBC     | Closed | WG26 |
| 129 | WG22 | AQ       | To confirm the period for securities to be paid back  | NESO will work to as soon as reasonable but the long stop is 6 weeks  | TBC     | Closed | WG26 |
| 130 | WG22 | ENWL     | ENWL to check if an equivalent Alternative is required to their CMP434's Alternative.   | Action completed and Alternative Request withdrawn 04.11.24   | TBC     | Closed | WG27 |
| 131 | WG23 | MO       | List of documents/event slides to be added to the WG Report for suggestions to industry for what to review along with the CMP435 CAC (methodologies, TMO4+ overview, CP30 updates)  | Added to the WG Report  | TBC     | Closed | WG26 |
| 132 | WG23 | RP       | Share clarity on how an embedded connection with a BEGA/BELLA is put forward to Gate 1 or Gate 2, i.e. via the Distribution or Transmission routes.   | Included in SME update and within WG24 slide pack   | TBC     | Closed | WG26 |
| 133 | WG23 | MO       | Revisit wording in CMP434 and CMP435 proposal sections to be clear that the solution would provide the mechanism to update a connecting party's contract as a result of the CNDM.   | This was already in there but it has been made clearer  | TBC     | Closed | WG26 |
| 134 | WG25 | RP       | To provide a process flow in relation to 18.8.4   | Provided as part of WG 25   | Ongoing | Closed | WG26 |